

Resilience Against Sensor Deception Attacks at the Supervisory Control Layer of Cyber-Physical Systems

A discrete event systems approach

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Acknowledgements

- **Rômulo Meira-Góes**

PhD Candidate, EECS Dept., University of Michigan

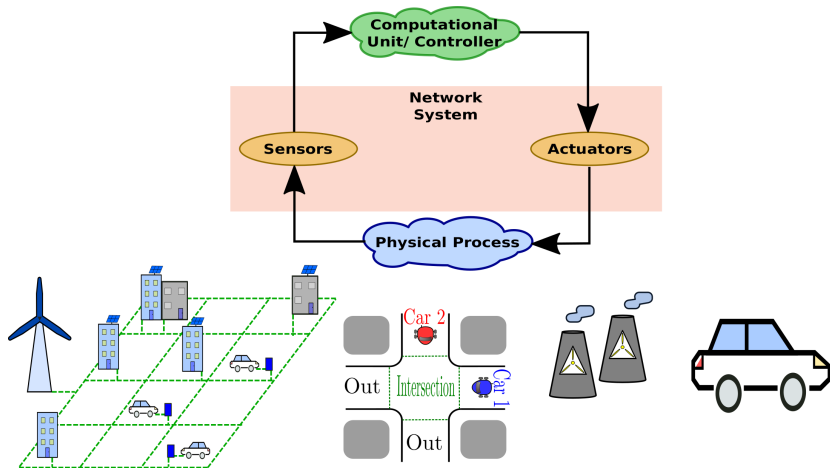
- Collaborators

- ▶ Eunsuk Kang, Carnegie-Mellon University, USA
- ▶ Raymond Kwong, University of Toronto, Canada
- ▶ Hervé Marchand, SUMO lab - INRIA-Rennes, France

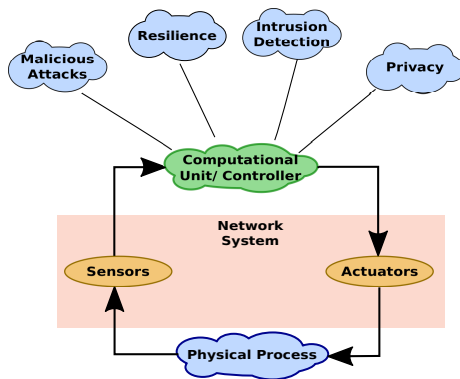
- Financial Support: US National Science Foundation



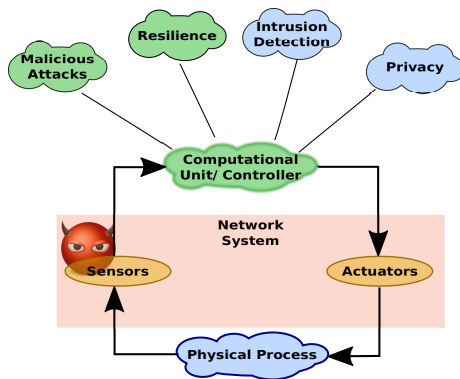
Cyber-physical systems



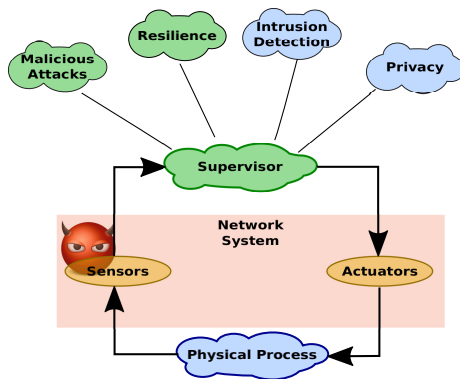
Cyber-security



Cyber-security



Cyber-security



Discrete event systems

CPS already suitably abstracted as discrete transition system (at supervisory control layer)

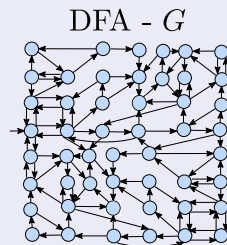
DFA

$$G = (X_G, \Sigma, \delta_G, x_{0,G})$$

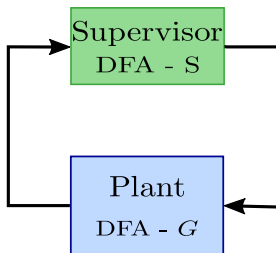
- X_G is a finite set of states
- Σ is a finite set of events
- $\delta_G : X_G \times \Sigma \rightarrow X_G$
- $x_{0,G}$ is the initial state

$\mathcal{L}(G)$ is the language generated by G

Example



Supervisory control theory —SCT



- $\Sigma = \Sigma_c \cup \Sigma_{uc}$
- Admissible Control Decisions
- $\Sigma = \Sigma_o \cup \Sigma_{uo}$
- Critical States $X_{crit} \subset X_G$
- S/G controlled system: $\mathcal{L}(S/G)$



Supervisory control theory

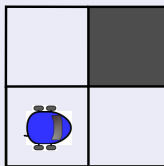
- Originally introduced by Ramadge & Wonham in the 1980s. Comprehensive theory now.
- Discrete-event system-theoretic properties for *necessary and sufficient* conditions for **existence** of solution
 - ▶ **controllability** (about *actuators*)
 - ▶ **observability** (about *sensors* and *actuators*)
- Effective computational algorithms for *supervisors* under regular language specifications (safety and non-blockingness)
 - ▶ Fix-point characterizations on languages: finitely-convergent iterative algorithms on automata
- This talk:
 - ▶ **Supremal controllable sublanguage** [customized]
 - ▶ **Supremal controllable and normal sublanguage**
 - ▶ **Maximal controllable and observable sublanguage**
- *Formal methods in control*: connection between **reactive synthesis** and SCT



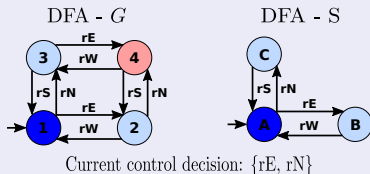
Supervisory control

Example: Robot in an $n \times n$ grid with obstacles

($n = 2$ to fit in one slide)



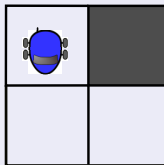
Model:



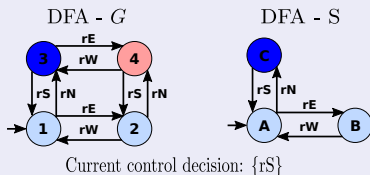
Supervisory control

Example: Robot in an $n \times n$ grid with obstacles

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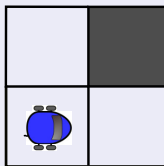
Model:



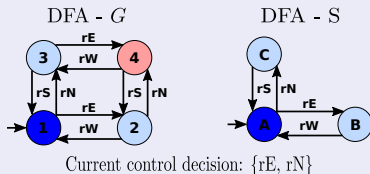
Supervisory control

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($n = 2$ to fit in one slide)



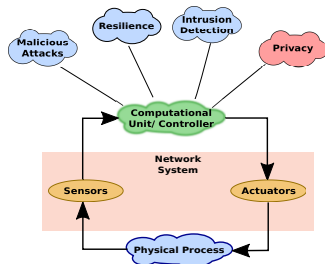
Model:



Security of CPS - Literature review in DES

Privacy:

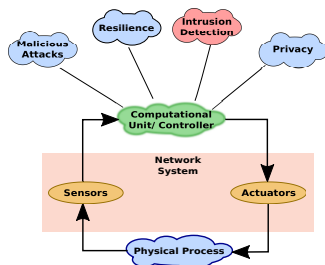
- **Saboori and Hadjicostis 2007**, *"Notions of security and opacity in discrete event systems"*
- **Dubreil, Darondeau, and Marchand 2010**, *"Supervisory control for opacity"*
- **Saboori and Hadjicostis 2012**, *"Opacity-Enforcing Supervisory Strategies via State Estimator Constructions"*
- **Cassez, Dubreil, and Marchand 2012**, *"Synthesis of opaque systems with static and dynamic masks"*
- **Jacob, Lesage, and Faure 2016**, *"Overview of discrete event systems opacity: Models, validation, and quantification"*
- **Wu et al. 2018**, *"Synthesis of Obfuscation Policies to Ensure Privacy and Utility"*



Security of CPS - Literature review in DES

Intrusion Detection:

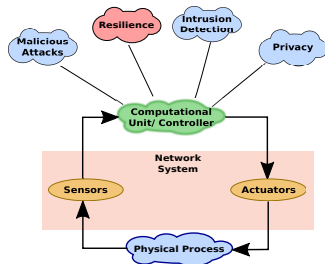
- **Thorsley and Teneketzis 2006**, *"Intrusion Detection in Controlled Discrete Event Systems"*
- **Carvalho et al. 2018**, *"Detection and mitigation of classes of attacks in supervisory control systems"*
- **Lima et al. 2019**, *"Security Against Communication Network Attacks of Cyber-Physical Systems"*
- **Wang et al. 2020**, *"Mitigation of Classes of Attacks using a Probabilistic Discrete Event System Framework"*
- **Meira-Góes, Keroglou, and Lafortune 2020**, *"Towards probabilistic intrusion detection in supervisory control of discrete event systems"*



Security of CPS - Literature review in DES

Resilience:

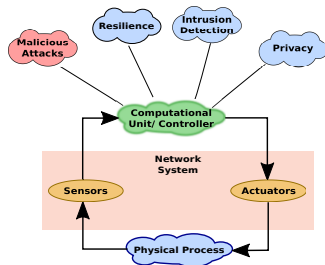
- **Moor 2016**, *"A discussion of fault-tolerant supervisory control in terms of formal languages"* FTC
- **Wakaiki, Tabuada, and Hespanha 2018**, *"Supervisory Control of Discrete-Event Systems Under Attacks"*
- **Su 2018**, *"Supervisor synthesis to thwart cyber attack with bounded sensor reading alterations"*
- **Zhu, Lin, and Su 2019**, *"Supervisor Obfuscation Against Actuator Enablement Attack"*
- **Wang and Pajic 2019a**, *"Attack-Resilient Supervisory Control with Intermittently Secure Communication"*
- **Meira-Góes and Lafortune 2020**, *"Moving Target Defense based on Switched Supervisory Control: A New Technique for Mitigating Sensor Deception Attacks"*



Security of CPS – Literature review in DES

Malicious Attacks:

- **Su 2018**, *“Supervisor synthesis to thwart cyber attack with bounded sensor reading alterations”*
- **Zhang et al. 2018**, *“Stealthy Attacks for Partially-Observed Discrete Event Systems”*
- **Lin et al. 2019**, *“Synthesis of Supremal Successful Normal Actuator Attackers on Normal Supervisors”*
- **Wang and Pajic 2019b**, *“Supervisory Control of Discrete Event Systems in the Presence of Sensor and Actuator Attacks”*

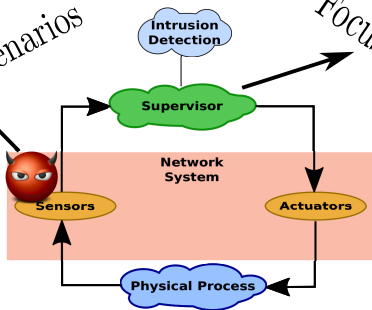


Overview of presentation

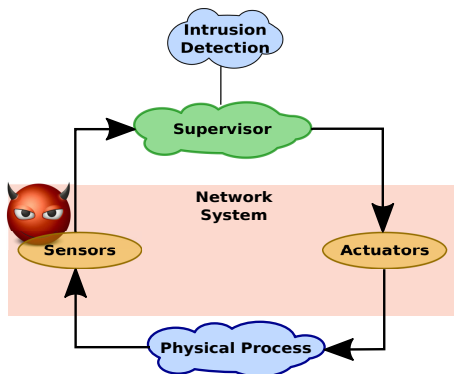
Part 1 - Focusing on the attacker

- a) Basic scenario
- b) Generalized scenarios

Part 2 - Focusing on the supervisor



Synthesis of sensor deception attacks



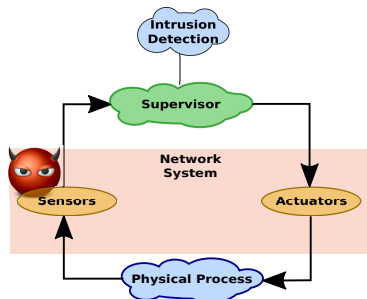
Synthesis of sensor deception attacks – Assumptions

Assumptions:

- Knows Supervisor and Plant models
- Observes same events as Supervisor
- Hijacks sensors $\Sigma_a \subseteq \Sigma$

Goals:

- Cause damage to Plant
- Do not trigger Intrusion Detection Module

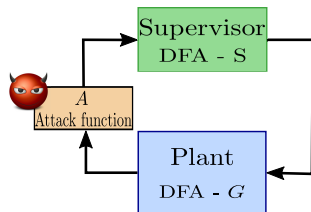


Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$

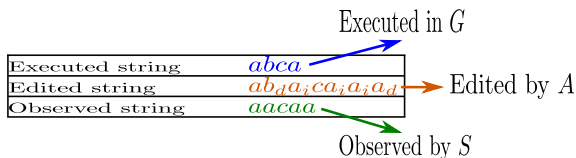
Executed string	<i>abca</i>
Edited string	<i>ab_da_ica_ia_ia_d</i>
Observed string	<i>aacaa</i>



Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

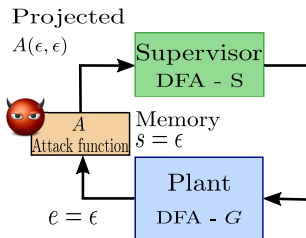
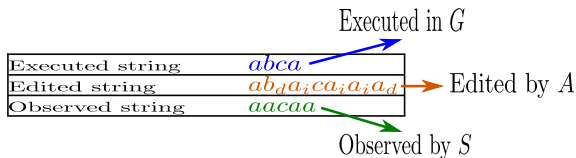
$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$



Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$



Initial condition: Insertions at system initialization



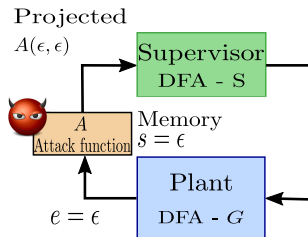
Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$

Initial condition: Insertions at system initialization

$$A(\epsilon, \epsilon) \in \Sigma_a^*$$



Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

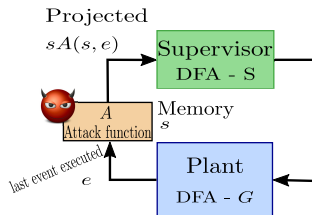
$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$

Initial condition: Insertions at system initialization

$$A(\epsilon, \epsilon) \in \Sigma_a^*$$

Compromised: Deletions/insertions

Not compromised: Insertions after event is reported unaltered



Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$

Initial condition: Insertions at system initialization

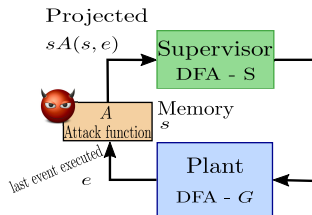
$$\mathbf{A}(\epsilon, \epsilon) \in \Sigma_a^*$$

Compromised: Deletions/insertions

$$\mathbf{e} \in \Sigma_a \rightarrow \mathbf{A}(\mathbf{s}, \mathbf{e}) \in \Sigma_a^*$$

Not compromised: Insertions after event is reported unaltered

$$\mathbf{e} \in \Sigma \setminus \Sigma_a \rightarrow \mathbf{A}(\mathbf{s}, \mathbf{e}) \in \{\mathbf{e}\} \Sigma_a^*$$



Attack function

Attack function with $\Sigma_a \subseteq \Sigma$

$A : (\text{past edited string}) \times (\text{new executed event}) \rightarrow (\text{edited suffix})$

Initial condition: Insertions at system initialization

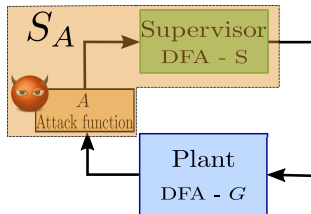
$$A(\epsilon, \epsilon) \in \Sigma_a^*$$

Compromised: Deletions/insertions

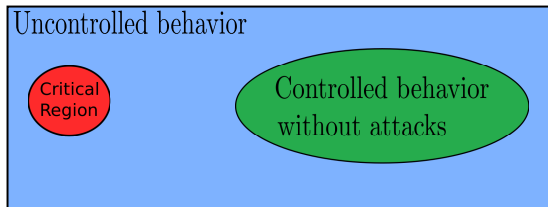
$$e \in \Sigma_a \rightarrow A(s, e) \in \Sigma_a^*$$

Not compromised: Insertions after event is reported
unaltered

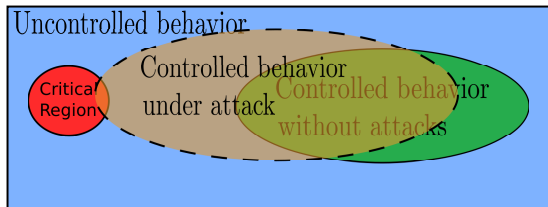
$$e \in \Sigma \setminus \Sigma_a \rightarrow A(s, e) \in \{e\} \Sigma_a^*$$



Influence of A on controlled system



Influence of A on controlled system



Problem formulation: Synthesis of attack functions

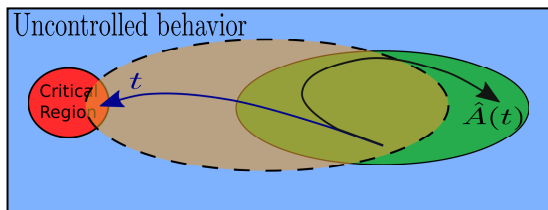
Synthesis of Attack Function

Given G , S and Σ_a . Synthesize an attacker A that generates $\mathcal{L}(S_A/G)$ satisfying:

Completeness: $\forall te \in \mathcal{L}(S_A/G) \rightarrow A(\hat{A}(t), e)$ is defined

Stealthiness: $\forall te \in \mathcal{L}(S_A/G) \rightarrow \hat{A}(t)A(\hat{A}(t), e) \in \mathcal{L}(S/G)$

Strong Attack: $\exists t \in \mathcal{L}(S_A/G) \rightarrow \delta_G(x_{0,G}, t) \in X_{crit}$



Note: $\hat{A}(t)$ is entire edited string for executed string t

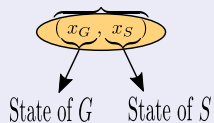


Solution approach: Graph games

Information and Definition

Arena \mathcal{A}

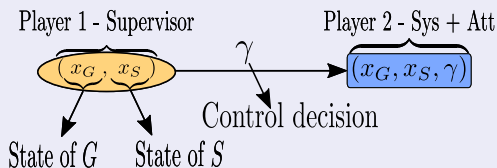
Player 1 - Supervisor



Solution approach: Graph games

Information and Definition

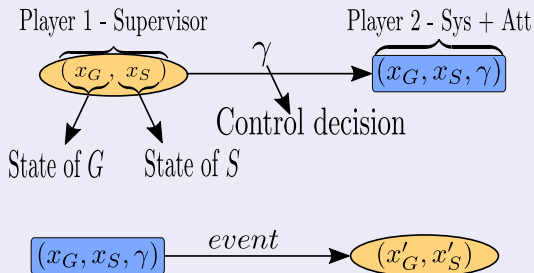
Arena \mathcal{A}



Solution approach: Graph games

Information and Definition

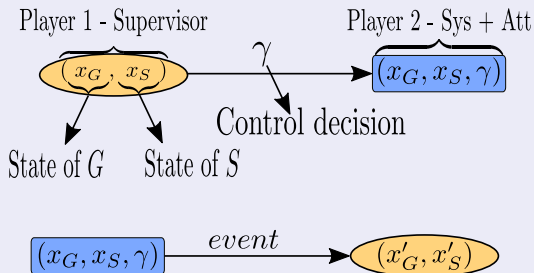
Arena \mathcal{A}



Solution approach: Graph games

Information and Definition

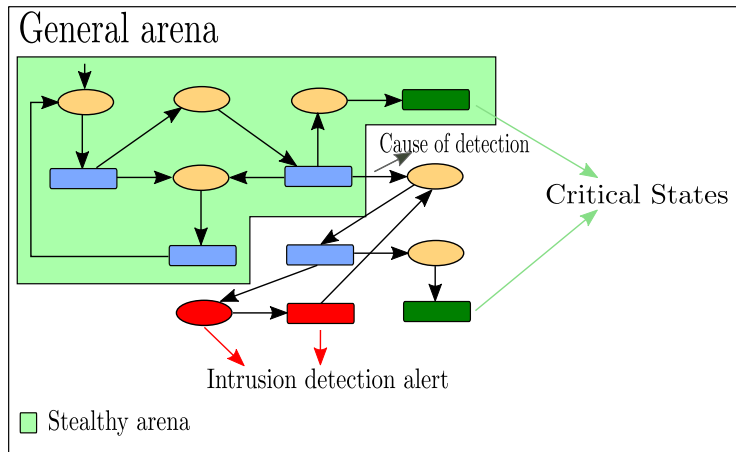
Arena \mathcal{A}



Construction and Pruning of Game Arena

- BFS from y_0
- Prune non-stealthy attack strategies

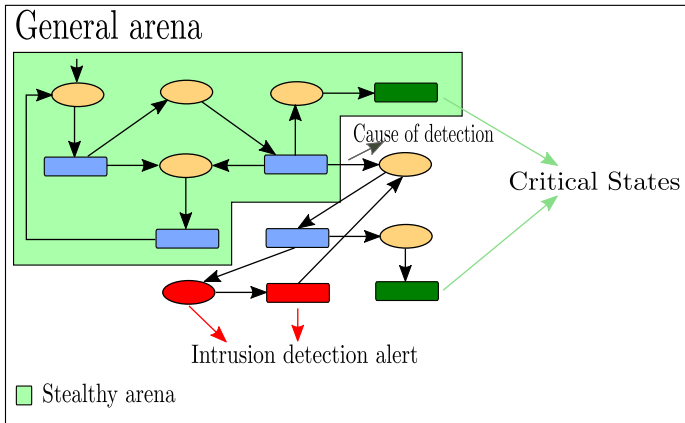
Solution approach: Graph games pruning (iterative)



This can be formulated as *customized* computation of Supremal Controllable Sublanguage in SCT!



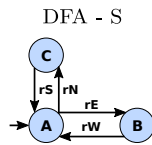
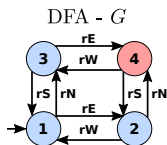
Solution approach: Graph games



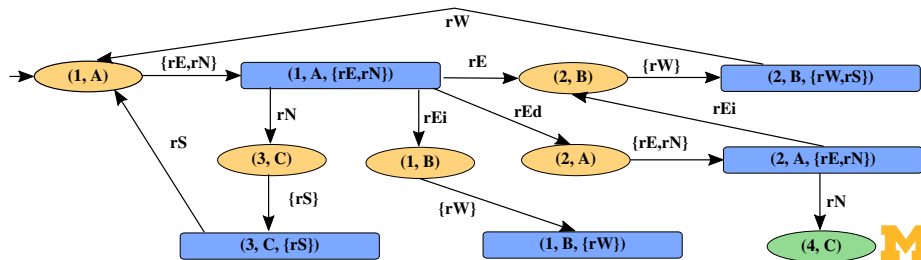
Theorem

- There exists an attack strategy if and only if there exists a critical state in the stealthy arena

Example



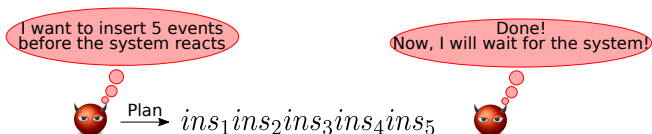
Compromised event set $\Sigma_a = \{rE\}$



Synthesis of attack functions

- Logical constraints:

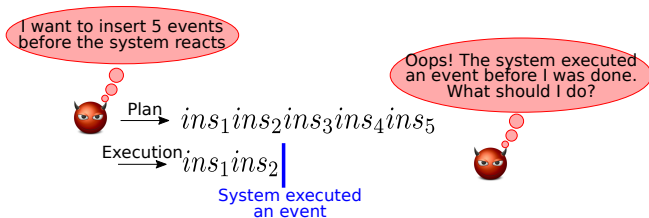
- ▶ Deterministic
- ▶ Bounded
- ▶ Interruptability



Synthesis of attack functions

- Logical constraints:

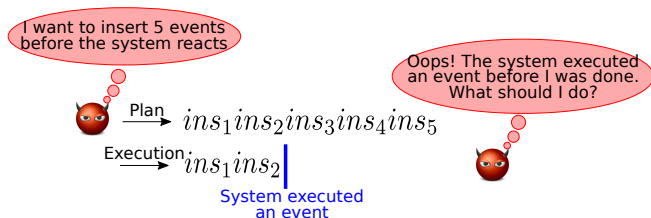
- ▶ Deterministic
- ▶ Bounded
- ▶ Interruptability



Synthesis of attack functions

- Logical constraints:

- ▶ Deterministic
- ▶ Bounded
- ▶ Interruptability



→ Handled by customizing *construction* and *pruning* of game arena

- Synthesis of attack function: based on paths to critical states in pruned arena

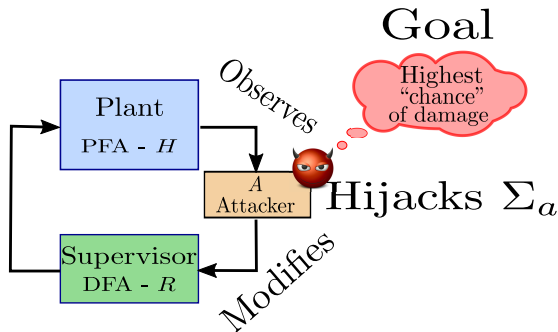


Problem variations

- Stochastic attack synthesis
- Partial observation case



Variation 1: Stochastic system

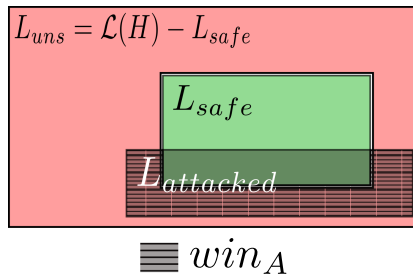


- 1 Maximize likelihood of damage (first hitting time)
- 2 Solution via $1-\frac{1}{2}$ turn-based reachability stochastic game (MDP)
- 3 LP solution methodology from literature



Optimal Sensor Deception Attacks - Intuition

Suppose we have an attack strategy:



win_A - probability of reaching X_{crit} using attack function A



Stochastic system

Optimal Attack Function Synthesis Problem

Given the PFA H , a DFA R and $\Sigma_a \subseteq \Sigma$, **synthesize** \mathbf{A}^* , if one exists, s.t. $\forall A$:

$$\mathbf{win}_{\mathbf{A}^*} \geq \mathbf{win}_A \quad (1)$$

Solution via **1** and $\frac{1}{2}$ **turn-based stochastic reachability game**¹ (Condon 1992)

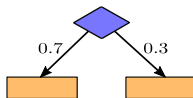
Information state - (x_H, x_R)



V_1 - Player 1 vertices (Attacker)



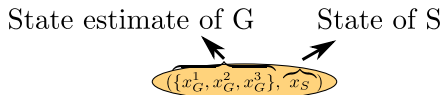
V_r - Player random vertices (Controlled system)



¹Equivalent to MDP

Variation 2: System with partial observation

- 1 Same formulation as full observation case
- 2 $\Sigma_a \subseteq \Sigma_o$
- 3 State estimates



- 4 Two types of attack conditions:
 - ▶ Strong Attack: {Crit,Crit,Crit}
 - ▶ Weak Attack: {Good,Crit,Good}



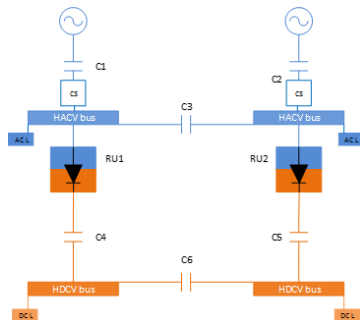
Application – Water treatment testbed



- Secure Water Treatment (SWaT) system^a (*SWaT: Secure Water Treatment Testbed*, 2015; Kang et al. 2016)
- Scaled-down version of an industrial system
- Modeling: part of the plant
- Feasible sensor deception stealthy attack found

^aLocated at Singapore University of Technology and Design (SUTD)

Application – Aircraft power distribution system testbed



- Scaled-down version of an industrial system in Necmiye Ozay's lab at UMich (Benjumea 2015)
- Feasible sensor deception stealthy attack found

Conclusion - Part 1

Contribution

- Attacker's perspective
- Modeling an attacker as edit function for sensor reading modification
- Use of graph games techniques
 - ▶ Game arena states must be *information states*
- Existence and synthesis of two different types of attacks (strong/weak)
- Investigated different attack scenarios
- Optimal attack synthesis in context of probabilistic model

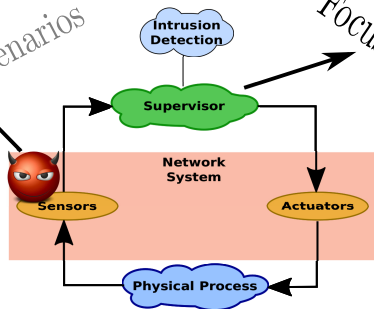


Overview of presentation

Part 1 - Focusing on the attacker

- a) Basic scenario
- b) Generalized scenarios

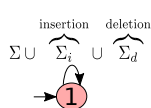
Part 2 - Focusing on the supervisor



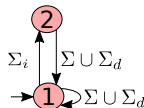
Attack function

Attack function with $\Sigma_a \subseteq \Sigma$ are encoded as a DFA A

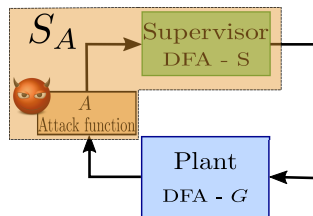
- All-out attack
- Prior knowledge, e.g., bounded, replacement, etc.



all-out



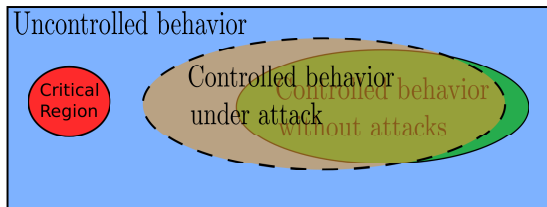
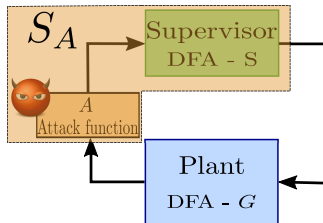
bounded insertion



→ Here, S is **not** fixed by must be **synthesized**



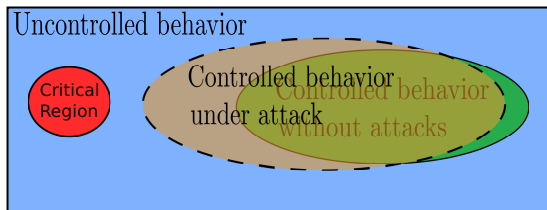
Influence of A on controlled system



Synthesis of supervisors robust against sensor deception attacks

Synthesis of Supervisors

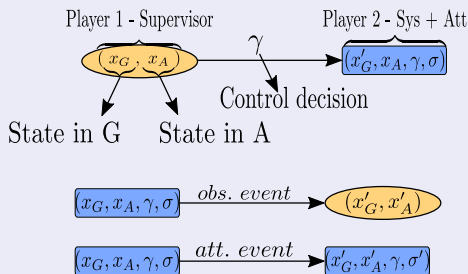
Given G , X_{crit} and A , synthesize a supervisor S such that it guarantees that S_A/G is safe.



Solution approach – Graph games

Definition

Arena \mathcal{A} w.r.t to G, Σ_a is:

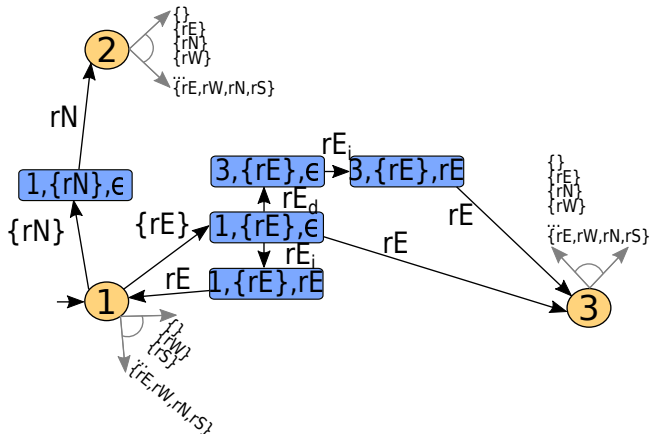


Comparison with previous game

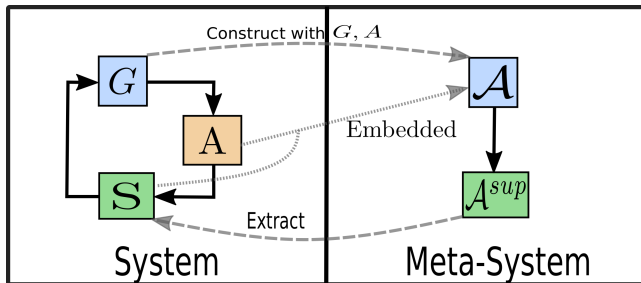
- Player 1 has actions
- Game is a partial information game (actions of attacker are not *observable*)

Example – Robot in a grid

Compromised event set: $\Sigma_a = \{rE\}$ - all-out attack



Meta-system

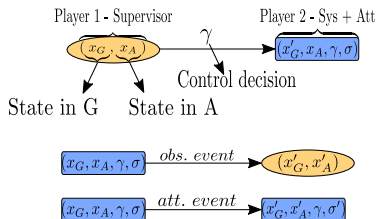


- Meta-system space has all possible supervisors of original control problem
- Pruning - Partially observed supervisory control problem
- Pruning - Partial information safety games

Theorem: All robust supervisors are embedded in \mathcal{A}^{sup}



Meta-system



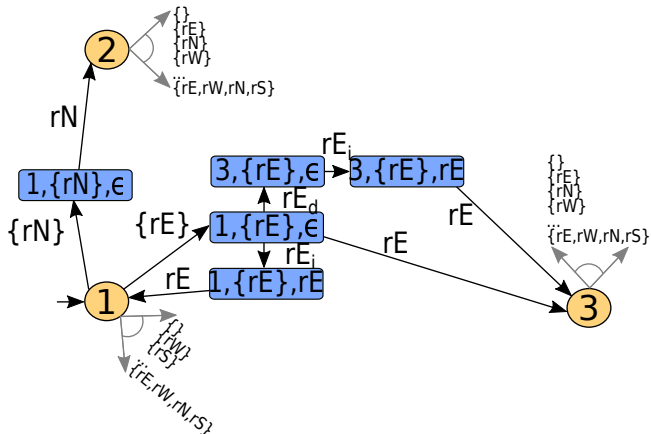
Some more details about solving (pruning) meta-system:

- Solve using SCT
- Arena is **uncontrolled system**: partially observed
- Specification involves **safety**
- All controllable events are observable
 - ▶ Supremal controllable and normal sublanguage is **optimal** solution
- That solutions embeds **all** supervisors that are robust against sensor attacks



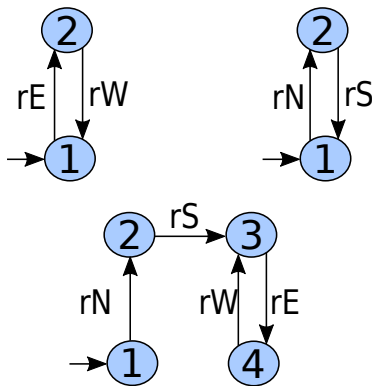
Example – Robot in a grid

Compromised event set: $\Sigma_a = \{rE\}$ - all-out attack



Example – Robust supervisors

Compromised event set: $\Sigma_a = \{rE\}$ - all-out attack



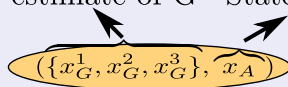
Note: Supervisor *ignores* [controllable] events not defined at its state (attacker not stealthy)



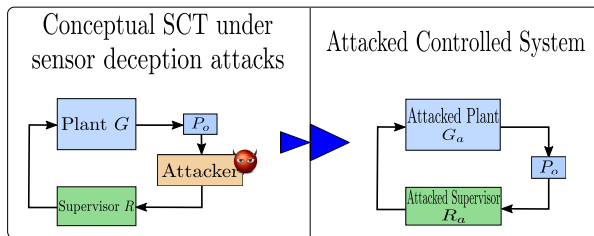
Extension

- Partially observed system: state estimates

State estimate of G State of A



Alternative approach: Robust supervisor via supervisory control theory (directly)



- Same problem formulation
- Attacked Controlled System embeds attack information
- Supervisory control theory with *arbitrary control patterns*
 - insertion and deletion events coupled with their legitimate counterpart
- No optimal solution here: **maximal** controllable and observable sublanguage(s)
 - adapted VLP-PO algorithm (has nice properties)
- Sound and Complete – but does *not* embed all solutions, as \mathcal{A}^{sup} does
- Single exponential time complexity – even for partially observed system



Conclusion - Part 2

Contribution

- Robust supervisors against sensor deception attacks
- Blending techniques from graph games with SCT
- Two methods to solve problem



Conclusion and Future Work

Conclusion

- Cyber-security with systems modeled as DES
- Sensor deception attacks from both perspectives: *attacker* and *defender*
- Use of graph games techniques blended with SCT



Conclusion and Future Work

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Next talk: Alternative methodology to solve similar problems



Conclusion and Future Work

Conclusion

- Cyber-security with systems modeled as DES
- Sensor deception attacks from both perspectives: *attacker* and *defender*
- Use of graph games techniques blended with SCT

Next talk: [Alternative methodology to solve similar problems](#)

Future work

- Investigate case studies in CPS and in Cyber Control Systems
- Relax attacker assumptions
- Blend techniques of robust supervisors with intrusion detection modules
- Stochastic systems



Selected papers

Journals

- **Meira-Góes et al. 2019**, *"Synthesis of Sensor Deception Attacks at the Supervisory Layer of Cyber-Physical Systems"*, conditionally accepted in Automatica
- **Meira-Góes, Lafortune, and Marchand 2019**, *"Synthesis of Supervisors Robust Against Sensor Deception Attacks"*, under review in IEEE Transactions on Automatic Control

Conferences

- **Meira-Góes, Marchand, and Lafortune 2019**, *"Stealthy deception attacks for cyber-physical systems"*, 2019 IEEE 58th Annual Conference on Decision and Control (CDC)
- **Meira-Góes, Kwong, and Lafortune 2019**, *"Synthesis of Sensor Deception Attacks for Systems Modeled as Probabilistic Automata"*, 2019 American Control Conference (ACC)
- **Meira-Góes et al. 2017**, *"Stealthy deception attacks for cyber-physical systems"*, 2017 IEEE 57th Annual Conference on Decision and Control (CDC)



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- Meira-Góes, R., S. Lafortune, and H. Marchand (2019). "Synthesis of Supervisors Robust Against Sensor Deception Attacks". In: *under review at IEEE Transactions on Automatic Control*.
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